





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/046,566

10/19/2001

Felix Chow

388682000900

CONFIRMATION NO. 1782

FORMALITIES LETTER

OC000000007497802

Richard C. Kim Morrison & Foerster LLP Suite 500 3811 Valley Centre Drive San Diego, CA 92130-2332

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$456.
 - \$288 for 16 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1326.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000038 031952 740.00 CH 130.00 CH 84.00 CH 288.00 CH

2002 HNOOR1

21 FC:101 02 FC:105 03 FC:102

March 29, 2002

Date

Officer the Paperwork Reduction Act of 1995, no pers	ons are required to	respond to a conection of	intormation unless it displays a valid OND control north	· .		
FEE TO A NOMITTAL	Complete if Known					
FEE TRANSMITTAL	Application Number	10/046,566				
/ ** FOR FY 2002	Filing Date	October 29, 2001				
APR 1 1 2002 2	First Named Inventor	Felix Chow				
TRADEMARK Patent fees are subject to annual revision.	Examiner Name	To be Assigned				
RADEMAR Patent fees are subject to annual revision.	Group Art Unit	2183				
TOTAL AMOUNT OF PAYMENT	(\$)1,242.00	Attorney Docket No.	388682000900			

	TOTAL AMOUNT OF PATINETY						Attorney Docket No. 388682000900								
	METHOD OF PAYMENT						FEE CALCULATION (continued)								
	1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:					3. ADDITIONAL FEES									
	Deposit Account Number	03-1952		ments to:	·	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee D	escription		Fee Pald		
	Account Morrison & Foerster LLP Name				105	130	205	65	Surcha	irge - late filing fe	e or oath	\$130			
	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27 Payment Enclosed: Check Credit Card Money Order Other						50	227	25		irge - late provisio	onal filing			
							130	139	130	Non-E	nglish specification	specification			
							2,520	147	2,520	For filing a request for ex parte reexamination					
							920*	112	920*	Requesting publication of SIR prior to Examiner action					
		FEI	113	1,840*	113	1,840°	Requesting publication of SIR after Examiner action								
	1. BASIC	FILING FEE				115	110	215	55	Extens					
٠	Large	Entity Small	Entity			116	400	216	200	month	ion for reply withi				
	Fee Code	Fee Fee (\$) Code	Fee (\$)	Fee Description	Fee	117	920	217	460	поніі	ion for reply withi				
1					Pald	118	1,440	218	720	month	ion for reply withi	n tourtn			
	- 101	740 201	370	Utility filing fee	740	128	1,960	228	980		ion for reply withi	n fifth month			
	106	330 206	165	Design filing fee	·	119	320	219	160		of Appeal				
	107	510 207	255	Plant filing fee	<u> </u>	120	320	220	160	_	brief in support				
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91						140	110	240	55		ung 1 to revive - unav	oidable			
4.1	SUBTOTAL (1) (\$)740.00					141	1,280	241	640		to revive - unint				
	2. EXTRA CLAIM FEES				142	1,280	242	640	Utility i	ssue fee (or reiss	sue)				
		Extra Fee from Fee Paid Claims below		143	460	243	230	Design							
	Total Claims	* 36-20 =	16	x 18	= \$288.00	144	620	244	310	Plant is	sue fee				
	Independent Claims	4-3 =	1	x 84	= \$84.00	122	130	122	130	Petition	ns of the Commis	sioner			
	Multiple Dep	endent			= \$	123	50	123	50	Petitions related to provisional applications					
4/29/2		KU 00000005		10046566		126	180	126	180	Submission of Information Disclosure Stmt					
1 FC:1)2 Fee Code	Large Entity Small Entity Fee Fig. 00 fee Fee Pee Description Code (\$) (\$)			581	40	581	40	Recording each patent assignment per properties (times number of properties)						
	103	18 203	9	Claims in exces	s of 20	146	740	246	370	rejection (37 CF	R § 1.129(a))				
	102	84 202	42	Independent cla	ims in excess of 3	149	740	249	370	examin	ch additional inve led (37 CFR § 1.1	129(b))			
	104	280 204	140		lent claims, if not paid	179	740	279	370	(RCE)	st for Continued E				
	109	84 209	42	Original patent		169	169 900		900	900 Request for expedited examinat of a design application		xamination			
	110	over original patent				Other fee (specify)									
	SUBTOTAL (2) (\$)372.00														
Į	** or number previously paid, if greater; For reissues, see above.					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) . (\$)130						130			
ſ	SUBMITTED BY						Complete (if applicable)								
	Name (Print/Type) Richard C. Kim				Registration No. (Attomey/Agent)			40,046		Telephone	(858) 720	-5142			

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PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Number 10/046,566 TRANSMITTAL **Filing Date** October 19, 2001 **FORM First Named Inventor Felix Chow Group Art Unit** 2183 (to be used for all correspondence after initial filing) **Examiner Name** To be Assigned Total Number Of Pages In This Submission Attorney Docket No. 388682000900 7

ENCLOSURES (check all that apply)									
Y Fee Transmittal For	m	Assignment Papers (for an Application)		After Allowance Communication to Group					
Fee Attache	d	Drawing(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition		Proprietary Information					
Affidavits/de	eclarations	Petition to Convert to a Provisional Application		Status Letter					
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address	X	Other Enclosure(s) (please identify below):					
	ure Statement iority Document(s) Ren	Terminal Disclaimer Request for Refund CD, Number of CD(s) marks		Declaration for Utility Patent Application (3 pages); Copy of Notice To File Missing Parts of Nonprovisional Application (1 page); Return Postcard					
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT									
Firm	Richard C. Kim								
or	Reg. No. 40,046								
Individual Name MORRISON & FOERSTER LLP									
Signature	Signature Richard C. Cin								
Date	March 29 , 2002		-						
Signature Richard C. Kin									

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 27, 2002.

Marian Christopher

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